



TOWN OF LEDYARD
CONNECTICUT
MAYOR'S OFFICE

741 Colonel Ledyard Highway
Ledyard, Connecticut 06339
(860) 464-3221
(860) 464-1126 (Fax)

UNDER THE INTERIM FUNDING OBLIGATION

Date: August 30, 2013

Department of Public Health Fiscal Office, MS
#13ACT 410 Capital Avenue
Hartford, CT 06106-5127

Attn: Karen Sheets, Accountant Drinking Water Fund

RE: DWRSF Project No. #2010-0090 Loan Agreement 2013-7011

Enclosed are invoices and supporting documentation for the Town of Ledyard/Water Pollution Control Authority (WPCA) DWRSF #2010-0090 Loan Agreement 2013-7011. These invoices represent costs, billed through May 31, 2013 but not yet submitted for advances, totaling \$ 67,013.00 of which \$ 53,275.34 qualifies for the loan advance and \$ 13,737.67 qualifies for project subsidy per the attached summary sheet.

Please process the amount of \$ 53,275.34 as an advance to the Town of Ledyard/Water Pollution Control Authority (WPCA) and \$ 13,737.67 as a subsidy in accordance with the DWRSF Project Loan and Subsidy Agreement (the "Project Loan Agreement") between the Municipality and the State of Connecticut (the "State"), acting herein by and through the Commissioner of the Department of Public Health (DPH).

The Municipality, by and through its authorized representative, John A. Rodolico, Mayor hereby requests that an advance be made to itself, upon the \$ 67,013.00 Interim Funding Obligation, entered into between the Municipality and the State of Connecticut, dated December 7, 2012, (revised June 20, 2013) in the amount of \$ 4,998,419.27.

The Recipient hereby represents that: (i) no default or any event that, but for the giving of notice or lapse of time or both, would constitute an event of default has occurred or is continuing under the Project Loan Agreement and; (ii) the representation contained in Sections 5.1 through 5.5 of the Project Loan Agreement are true and correct as of the date hereof.

In support of this request, the following documentation is submitted:

1. A copy of each contractor's application for payment or invoice requested for payment. (Invoice must be reviewed and approved for payment by the sub Municipality.)
2. A tabulation sheet for each service provided which lists each contractor's application for payment or invoice by date and invoice number requested for advance.
3. A separate tabulation summarizing the data in item 2.
4. A completed and signed Davis-Bacon Certification Form (if wages are included in this payment request).

Very truly yours,

John A. Rodolico, Mayor
(AUTHORIZED REPRESENTATIVE)

CT Department of Public Health

PAYMENT REQUISITION

PWS Name	Ledyard Water Pollution Control Authority	DPH Project No.	2010-90
PWSID Number	CT0727051	DWSRF	#2010 0727051a
		Loan Agreement	2013-7011
		REQUEST No.	6
		Date	08/30/13

LEGAL NAME AND ADDRESS OF BORROWER:	PAYABLE TO:
Town of Ledyard	Town of Ledyard
Water Pollution Control Authority	c/o Dime Savings Bank
714 Col. Ledyard Highway	290 Salem Turnpike Road, Norwich, CT 06360
Ledyard, CT 06339	PAYMENT METHOD: WIRE TRANSFER
	ACCOUNT #: 320035421

EXPENDITURE TYPE	DWSRF APPROVED AMOUNT (REVISED) \$	PREVIOUS REQUESTS \$	THIS REQUEST \$
Administrative	\$ 10,000.00	\$ 2,397.50	\$ -
Construction (DPW)	funds other sources		
Construction Phase 1A - Avery Hill Rd et al	1,720,823.65	1,452,710.73	-
Construction Phase 1B - Booster Pumping Station	547,000.00	-	-
Construction Phase 2-Aljen Heights Area	2,090,587.40	1,748,224.53	67,013.00
Contingency (DPW)	funds other sources		
Contingency (DWSRF)	200,000.00	-	-
Misc Engineering (Finance/Closing Costs)	67,000.00	12,259.36	-
Professional Services	265,000.00	92,682.34	-
Accrued Interest	98,008.22	-	-
Totals	\$ 4,998,419.27	\$ 3,308,274.46	\$ 67,013.00

CERTIFICATION OF THE BORROWER:

The Authorized Representative of the Borrower identified below certifies the following:

- (i) This payment is for Project Costs and the obligations specified herein have not been the basis for a prior requisition that has been paid;
- (ii) there has been no Default, as defined in the Regulatory Agreement hereunder or no Event of Default as defined in the Loan Agreement, and no event or condition exists which after notice or lapse of time or both, would become a Default under the Regulatory Agreement or an Event of Default under the Loan Agreement exists; and
- (iii) the payment requested by this requisition is due for work actually performed or materials or property actually supplied prior to the date of this requisition less retainage.

Signature: _____ Date: August 30, 2013
 Print Name: John A. Rodolico
 Title: Mayor

(To be completed by the DPH)

Amount Requested: _____ Amount Approved: _____
 Signature: _____ Date _____
 Print Name: Cameron Walden
 Title: Supervising Sanitary Engineer-Drinking Water Section

Reimb Request No 4																0.0%
Reimb Request No 5																0.0%
Reimb Request No 6																0.0%
Sum Reimb Req																0.0%
		\$ 5,988,258.63	\$ 4,998,419.27	\$ 892,449.27	\$ 3,460,961.78	\$ 3,375,287.46	\$ 691,933.93	\$ 2,683,353.53								67.5%

CONTRACT TASK	CATAGORICAL APPROVED COST	DWSRF APPROVED BUDGET	DWSRF APPROVED SUBSIDY	DWSRF APPROVED LOAN	TOTAL AMOUNT REQUESTED	TOTAL REQUESTED SUBSIDY	TOTAL REQUESTED LOAN	% COMP	COMMENTS
Reimb Request No 1					840,560.18	172,314.84	668,245.34	79.5%	
Reimb Request No 2					1,390,205.36	284,992.10	1,105,213.26		
Reimb Request No 3					430,513.90	88,255.35	342,258.55		
Reimb Request No 4					331,459.62	67,947.38	263,503.24		
Reimb Request No 5					315,544.49	64,686.60	250,857.80		
Reimb Request No 6					67,013.09	13,797.67	53,215.42		
Sum Reimb Req					3,375,287.46	691,933.93	2,683,353.53		

Check

\$ - \$ - \$

Request No. 6
 August 30, 2013
 Approved Contract:
 547,000.00

CONTRACT INVOICE SUMMARY

PWS Name Ledyard Water Pollution Control Authority
 PWSID Number CT0727051
 DPH Project No. 2010-90
 DWSRF #2010 0727051a
 Loan Agreement 2013-7011
 EXPENDITURE TYPE Construction Phase 1B - Booster Station

REQUEST NO.	CONTRACTOR	INVOICE NO.	DATE	TOTAL VALUE WORK TO DATE AMOUNT	RETAINAGE	AMOUNT PAYABLE TO DATE	LESS AMOUNT PAYABLE LAST ESTIMATE	CURRENT REQUESTED REIMBURSEMENT	COMMENTS
	G. Donovan Associates								
	TOTAL				\$		\$		

REMAINS \$ 547,000.00

RMS	
RLV	
DLC	
MSW	
DL	

Town of Ledyard / Groton Utilities
Watermains and Roadway & Drainage Improvements
Aljen Heights Area (Phase 2)
SRF Funding

SHEET 1 of 2

ESTIMATE NO. 6

INVOICE # _____

Haluch Water Contracting, Inc
399 Fuller St PO Box 510
Ludlow, MA 01056

FOR WORK DONE THROUGH
DATE June 30, 2013

ITEM NO.	DESCRIPTION	QTY UNIT	ESTIMATED QUANTITY	UNIT PRICE	CONTRACT AMOUNT	QUANTITY OR %			AMOUNT PAYABLE THIS MONTH	AMOUNT PAYABLE TO DATE
						LAST EST. TOT	THIS EST.	TOTAL JOB		
1a	8" DI Pipe and Fittings	LF	13800	\$85.76	\$1,183,488.00	13722	0	13722	\$0.00	\$1,176,798.72
1b	4" DI Pipe and Fittings	LF	1200	\$70.00	\$84,000.00	1303	0	1303	\$0.00	\$91,210.00
2a	8" Gate Valves & Valve Boxes	EA	47	\$2,000.00	\$94,000.00	47	0	47	\$0.00	\$94,000.00
2b	4" Gate Valves & Valve Boxes	EA	6	\$1,000.00	\$6,000.00	6	0	6	\$0.00	\$6,000.00
3	Connection to existing 8" DI watermain	EA	2	\$2,000.00	\$4,000.00	2	0	2	\$0.00	\$4,000.00
4a	Hydrant Assembly	EA	13	\$4,000.00	\$52,000.00	13	0	13	\$0.00	\$52,000.00
4b	Partial Hydrant Assembly	EA	2	\$3,000.00	\$6,000.00	2	0	2	\$0.00	\$6,000.00
4c	Yard Hydrant	EA	6	\$2,000.00	\$12,000.00	6	0	6	\$0.00	\$12,000.00
5a	1" service connections	EA	181	\$2,000.00	\$362,000.00	159	29	188	\$58,000.00	\$376,000.00
6a	Earth excavation and backfill above normal grade	CYD	400	\$0.01	\$4.00	0	0	0	\$0.00	\$0.00
6b	Earth excavation below normal grade	CYD	1100	\$0.01	\$11.00	0	0	0	\$0.00	\$0.00
7	Rock excavation and disposal	CYD	4500	\$0.01	\$45.00	83	0	83	\$0.00	\$0.83
8	Bank-run gravel	CYD	3500	\$1.00	\$3,500.00	0	0	0	\$0.00	\$0.00
9	Crushed stone	CYD	1000	\$1.00	\$1,000.00	14	0	14	\$0.00	\$14.00
10	Processed gravel	CYD	1850	\$1.00	\$1,850.00	0	0	0	\$0.00	\$0.00
11a	Temporary pavement, Town right-of-way	SYD	9500	\$1.00	\$9,500.00	7420	165	7585	\$165.00	\$7,585.00
11b	Pavement milling, Town right-of-way,	SYD	21600	\$2.25	\$48,600.00	0	0	0	\$0.00	\$0.00
11c	Pavement Leveling Coarse, Town right-of-way	TON	250	\$80.00	\$20,000.00	0	0	0	\$0.00	\$0.00
11d	Pavement Overlay, Town right-of-way	SYD	21600	\$6.50	\$140,400.00	0	0	0	\$0.00	\$0.00
11e	Curbing replacement	LF	1000	\$1.00	\$1,000.00	0	0	0	\$0.00	\$0.00
12	Additional fittings	LBS	8000	\$0.01	\$80.00	0	0	0	\$0.00	\$0.00
13	Additional Watermain Restrained Joints	EA	35	\$10.00	\$350.00	2	0	2	\$0.00	\$20.00
14	Safety Signs and Controls	LUMP	1	\$19,582.80	\$19,582.80	1	0	0	\$0.00	\$19,582.80
15a	Police officer for traffic control contract allowance	EA	1720	\$75.00	\$129,000.00	706	165	871	\$12,375.00	\$65,325.00
15b	Additional cost above Item C15a allowance	EA	1720	\$0.01	\$17.20	0	0	0	\$0.00	\$0.00
15c	CT DOT Certified Flagmen for Traffic Control	EA	1720	\$0.01	\$17.20	0	0	0	\$0.00	\$0.00
17	Siltation fencing and haybales	LF	150	\$3.00	\$450.00	80	0	80	\$0.00	\$240.00

**Town of Ledyard / Groton Utilities
Watermains and Roadway & Drainage Improvements
Aljen Heights Area (Phase 2)
SRF Funding**

**Haluch Water Contracting, Inc
399 Fuller St PO Box 510
Ludlow, MA 01056**

SHEET 2 of 2
ESTIMATE NO. 6
INVOICE # _____
FOR WORK DONE THROUGH
DATE June 30, 2013

TOTAL THIS MONTH = \$70,540.00

TOTAL BID AMOUNT=	2,178,895.20	TOTAL AMOUNT FOR PROJECT = \$	1,910,776.35
		% USED	87.69%

5 % Retained \$95,538.82
Supplemental Agreement 1
Item. 15a- Police officer for Traffic Control
Change from \$100.00 to \$75.00 per hour

Total Value of Work to Date	<u>\$1,910,776.35</u>
5% Retained Deduction	<u>\$95,538.82</u>
Amount Payable to Date	<u>\$1,815,237.53</u>
Less Amount Payable to Date Last Estimate	<u>\$1,748,224.53</u>
Amount Payable This Estimate	<u>\$67,013.00</u>

Approved By: <u>[Signature]</u>	Project Manager	Date: <u>7/24/13</u>
Approved By: <u>[Signature]</u>	Contractor	Date: <u>7/24/13</u>
Approved By: <u>[Signature]</u>	Manager, Water Division	Date: <u>7/25/13</u>
Approved By: <u>[Signature]</u>	Permanent Building Committee Chair	Date: <u>8/5/2013</u>

Exhibit XIII Davis-Bacon Certification Form

Davis-Bacon Act CERTIFICATION

Date: August 6, 2013

PWSID: CT 0727051

PWS Name: Ledyard Water Pollution Control Authority (Ledyard WPCA)

For the period from June 1, 2013 To June 30, 2013

Name of Project: Water Main Extension – Aljen Heights Area (Phase 2)

DWSRF Project Number: #2010-0090 (DPH File #2010 0727051a)

I certify that the above referenced project:

Complies with Public Law 111-88, Title II “Administrative Provisions, Environmental Protection Agency” with respect to the requirements of section 1542(e) of the Safe Drinking Water Act [United States Code 300j-9(e)] and Title 29 CFR 5.5 that all applicable job classifications (e.g.: laborers and mechanics) employed by contractors and subcontractors during the above referenced period were paid wages at rates not less than those listed on the prevailing wage rate contained in the contract documents and that all applicable provisions of the Davis-Bacon and Related Acts have been met.

Ledyard Water Pollution Authority (Ledyard WPCA)
Name of Sub-Municipality


Signature of Sub-Municipality's Authorized Official

8/6/2013
Date

MICHAEL S WEBER PROJECT MANAGER
Print Name and Title of Sub-Municipality's Authorized Official

INVOICE SUMMARY

Request No. 6
 August 30, 2013
 Approved Amount:
 265,000.00

Ledyard Water Pollution Control Authority
 CT0727051
 2010-S0
 #2010 0727051a
 2013-7011
 Loan Agreement
 EXPENDITURE TYPE Professional Services

PWS Name
 PWSID Number
 DPH Project No.
 DWSRF
 Loan Agreement
 EXPENDITURE TYPE

REQUEST NO.	CONTRACTOR	INVOICE NO.	DATE	TOTAL VALUE WORK TO DATE AMOUNT	RETAINAGE	AMOUNT PAYABLE TO DATE	LESS AMOUNT PAYABLE LAST ESTIMATE	CURRENT REQUESTED REIMBURSEMENT	COMMENTS
2	Groton Utilities (Ph 1A)	0013717	1/9/13	\$ 41,782.19	\$ -	\$ 41,782.19	\$ -	\$ 41,782.19	Request No. 2
2	Groton Utilities (Ph 2)	0013718	1/9/13	\$ 61,647.29	\$ -	\$ 61,647.29	\$ 41,782.19	\$ 19,865.10	Request No. 2
3	Groton Utilities (Ph 1A)	0013901	4/15/13	\$ 76,485.87	\$ -	\$ 76,485.87	\$ 61,647.29	\$ 14,838.58	Request No. 3
3	Groton Utilities (Ph 2)	0013902	4/15/13	\$ 92,682.34	\$ -	\$ 92,682.34	\$ 76,485.87	\$ 16,196.47	Request No. 3
				TOTAL		\$ 92,682.34		\$ -	
							REMAINS	\$ 172,317.66	

Request No. 6
 August 30, 2013
 Approved Amount:
 10,000.00

INVOICE SUMMARY

PWS Name: Ledyard Water Pollution Control Authority
 PWSID Number: CT0727051
 DPH Project No.: 2010-50
 DWSRF #2010.0727051a
 Loan Agreement: 2013-7011
 EXPENDITURE TYPE: Administrative

REQUEST NO.	CONTRACTOR	INVOICE NO.	DATE	TOTAL VALUE WORK TO DATE AMOUNT	RETAINAGE	AMOUNT PAYABLE TO DATE	LESS AMOUNT PAYABLE LAST ESTIMATE	CURRENT REQUESTED REIMBURSEMENT	COMMENTS
3	The Day (legal Advertisement)	(3/8/13 chrgs)	3/1-3/31/13	\$ 1,168.70	\$ -	\$ 1,168.70	\$ -	\$ 1,168.70	Req. 3-Legal Ad
4	The Day (legal Advertisement)	(5/13/13 chrgs)	5/1-5/31/13	\$ 2,397.50	\$ -	\$ 2,397.50	\$ 1,168.70	\$ 1,228.80	Req. 4-Legal Ad
TOTAL:				\$ 2,397.50		\$ 2,397.50		\$ -	

REMAINS \$ 7,602.50

INVOICE SUMMARY

PWS Name Ledyard Water Pollution Control Authority
 PWSID Number CT0727051
 DPH Project No. 2010-90
 DWSRF #2010.0727051a
 Loan Agreement 2013-7011
 EXPENDITURE TYPE Finance/Closing

Request No. 6
 August 30, 2013
 Approved Amount:
 67,000.00

REQUEST NO.	CONTRACTOR	INVOICE NO.	DATE	TOTAL VALUE WORK TO DATE AMOUNT	RETAINAGE	AMOUNT PAYABLE TO DATE	LESS AMOUNT PAYABLE LAST ESTIMATE	CURRENT REQUESTED REIMBURSEMENT	COMMENTS
3	Day Pitney LLP	33720051	12/12/12	\$ 12,259.36	\$ -	\$ 12,259.36	\$ 12,259.36	\$ -	Req. 3-Bond Counsel
TOTAL				\$ 12,259.36	\$ -	\$ 12,259.36	\$ -	\$ -	
REMAINS							\$ 54,740.64		

