

DOH Form S-730
Rev. 3/15/14

**State of Connecticut
Department of Housing**

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

QUARTERLY GRANTEE PROGRESS REPORT

Grantee Name: <u>Town of Ledyard</u>	Reporting Period:
	From: <u>04/01/17</u>
Grant Number: <u>SC1507201</u>	To: <u>06/30/17</u>

THE GRANTEE CERTIFIES THAT:

- (a) To the best of its knowledge and belief the data in this report was true and correct as of dates shown above.
- (b) The required records are being maintained and will be made available upon request.
- (c) Federal assistance made available under the Small Cities CDBG Program is not being utilized to reduce substantially the amount of local Financial Support for community development activities below the level of such support prior to the start of the CDBG Program Year.

Prepared By:
LAURENCE E. WAGNER
PROGRAM COORDINATOR

Typed or Printed Name and Title



Signature

5/17/17

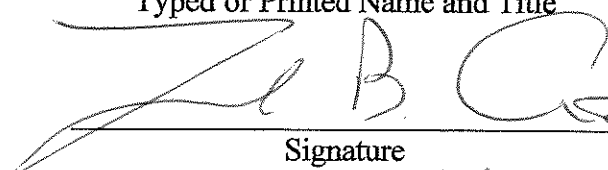
Date

(203) 573-1188

Tel. No.

Local Authorized Official:
FRED B. ALLYN III
MAYOR

Typed or Printed Name and Title



Signature

7-19-2017

Date

860-469
(203) 468-3204

Tel. No.

SUPPORTING NARRATIVE

PROGRESS ON PLANNED ACTIVITIES

For each program activity, briefly describe its current status. The description should combine a narrative of your progress and accomplishments of your activities.

Any special circumstances or problems that have kept you from meeting the Program Schedule submitted with your application should be explained.

Please attach any additional information such as newspaper clippings, pictures, etc.

Housing Rehabilitation Current Activity

Project # 072-98 932 Long Cove Road #5

The Certificate of Completion was issued on April 6, 2017. The work included the installation of a new front entry door & storm, handrails & guard rails on an exterior porch and smoke and carbon monoxide detectors in this manufactured home.

Project # 072-102 130 Christy Hill Road

The owner applied for funding to replace a failed septic system and a leaking hot water boiler. Due to the diverse nature of the projects the work was addressed in 3 phases.

1. Soil testing (for the purpose of septic design), 2. Septic repair 3. Heating.

The soil testing was completed on December 1, 2016. The information obtained through the testing allowed for the technical specifications to be prepared. Bids were received on December 12, 2016 with Lussier & Sons Septic Service of Clinton submitting the lowest bid. Contracts were signed on January 11, 2017 and the Certificate of Completion signed on May 17, 2017. The alternate for a pump system was needed as a gravity system could not be installed due to the ground water conditions and the topography of the property.

The boiler replacement was completed and reported as such in the 2016 4th quarter quarterly report.

Project # 072-103 33 Highland Drive

Work was completed on this property during the past quarter by RER Associates of Willington. Contracts were signed on March 8, 2017 and the work was completed on May 17, 2017. The repairs included the replacement of all roof sheathing, the installation of a new asphalt roof with proper venting, installation of an Energy Star rated boiler, construction of the required fire rated assembly between the house and garage, the installation of smoke and carbon monoxide detectors, replacing an exterior, above ground, single wall oil tank with a double walled fuel storage tank and window replacement.

Project # 072-104 8 Blackwatch Lane

This owner applied for funds to replace his roof and hydronic baseboard radiators. Upon the initial inspection, it was noted that the basement bedroom lack egress windows. The egress windows were incorporated into the scope of work and the project was put out to bid. Contracts were signed on March 15, 2017 and the Certificate of Completion was signed on May 17, 2017. Beside the egress windows, roofing & heating the scope of work includes electrical upgrades and the removal of trees that were impeding on the electrical service cable.

Project # 072-106 25 Hickory Drive

Contracts were signed on June 2nd. The renovations include the replacement of a frayed electrical service entrance cable, installation of smoke & carbon monoxide detectors, new roof, vinyl siding, gutters and replacement windows.

Project # 072-109 11 Parkwood Drive

The owner applied for funding to replace of the boiler, install new windows, vinyl siding and gutters. The owner was referred to the Energize CT Program for the possibility of receiving replacement windows and insulation at no cost. The owner received blown insulation through the Energize CT Program however the windows were not completely covered. Due to the lack of funds available the owner prioritized the windows and siding as his most pressing needs. Bids were received on June 29th with RER Associates submitting the lowest bid at \$ 16,395.00

Housing Rehabilitation Past Activity**Project 072-65 11 Stone Court**

This project was completed and reported as such in 2016 1st quarter quarterly report.

Project # 072-66 3 Parkwood Drive

Project withdrawn due to lack of equity.

Project # 072-67 24 Church Hill Road

This application was withdrawn due to the household being over income.

Project # 072-68 9 Osprey Drive

The work on this project was completed and reported as such in the 2016 2nd quarter quarterly report.

Project # 072-69 5 Highland Drive

The work on this project was completed and reported as such in the 2016 3rd quarter quarterly report.

Project # 072-70 178 Avery Hill Road

This project is on hold at the owners' request.

Project # 072-71 459 Col. Ledyard Highway

This application is withdrawn due to the lack of response from the owner.

Project # 072-72 8 Naomi Drive

The work on this project was completed and reported as such in the 2016 2nd quarter quarterly report.

Project # 072-73 146 Lambtown Road

This Project was addressed in 2 phases. 1) Boiler and oil tank replacement & 2) General Rehab. Boiler & oil tank replacement was completed and reported as such in the 2016 1st quarter quarterly report.

The general rehab was completed and reported as such in the 2016 2nd quarter quarterly report.

Project # 072-74 62 Silas Deane Highway

This application was withdrawn due to the household being over income.

Project # 072-75 66 Silas Deane Highway

This application was withdrawn due to the household being over income.

Project # 072-76 135 Gallop Hill Road

This application was withdrawn due to the household being over income.

Project # 072-77 14 Highview Terrace

The work on this project was completed and reported as such in the 2016 3rd quarter quarterly report.

Project # 072-78 2 Model Park Road

Project completed and reported as such in the 2017 1st quarter quarterly report.

Project # 072-79 936 Colonel Ledyard Highway

Project completed and reported as such in the 2017 1st quarter quarterly report.

Project # 072-80 1807 Center Groton Road

The owner was referred to Eversource for funding as the requested work was a perfect match with their program that would result in the owner having the work done at little to no cost to her.

Project # 072-81 7 Thompson Street

This application was withdrawn due to the household being over income.

Project # 072-82 21 N. Glenwoods Road

The owner requested his application be withdrawn from participation as he already had the work completed.

Project # 072-83 44 Overlook Road

This application is withdrawn due to the lack of response from the owner.

Project # 072-84 40 Blacksmith Road

No further information was received from the owner during the past quarter despite several attempts. The project will remain open allowing the owner the opportunity to respond and participate if eligible.

Project # 072-85 5 Mill Cove Road

Project completed and reported as such in the 2017 1st quarter quarterly report.

Project # 072-86 1535 Route 12

This application was deemed ineligible for participation due to a reverse equity mortgage on the property.

Project # 072-87 64 Spicer Hill Road

This is a duplicate application and is being addressed under project # 072-90.

Project # 072-88 243 Haley Road

The owner requested his application be withdrawn from participation as he already had the work completed.

Project # 072-89 1709 Center Groton Road

The owner withdrew for personal reasons.

Project # 072-90 64 Spicer Hill Road

This project was addressed in 2 phases. 1) Furnace replacement & 2) General rehabilitation in an attempt save the owner money by dealing directly with the performing contractor.

Furnace replacement completed and reported as such in the 2016 3rd quarter quarterly report.
The general rehab was completed and reported as such in the 2017 1st quarter quarterly report.

Project # 072-91 43 Blacksmith Road

Withdrawn due to lack of response from the owner.

Project # 072-92 37 Stony Brook Road

Withdrawn due to lack of response from the owner.

Project # 072-93 11 Black Watch Lane

This application was withdrawn due to the household being over income.

Project # 072-94 8 Barry Drive

Project was addressed in two phases, 1) Heating & asbestos abatement & 2) General rehabilitation.
Both aspects were completed and reported as such in the 2016 4th quarter quarterly report.

Project # 072-95 1425 Quinnipiac Ave

This application determined ineligible as the owner was seeking funding to purchase a home in Ledyard.

Project # 072-96 967 Long Cove Road #10

The owner requested his application be withdrawn the work was completed.

Project # 072-97 3 Coachman Pike

Withdrawn due to the household being over income.

Project # 072-99 5 Vinegar Hill Road

Withdrawn due to the household being over income.

Project # 072-100 11 Pennywise Lane

Project withdrawn due to lack of equity.

Project # 072-101 25 Village Drive

Withdrawn due to the household being over income.

Project # 072-105 57 Harvard Terrace

This application has been withdrawn from the waiting list due to lack of response from the owner.

Project # 072-107 1758 Route 12

The owner withdrew her application due to marital issues.

Project # 072-108 17 Old Colony Road

The owner withdrew his application and decided to peruse other options for the replacement of his water heater.

**CUMULATIVE ACTIVITY ACCOMPLISHMENTS REPORT
 ACTUAL ACCOMPLISHMENTS DATA THROUGH THIS QUARTER**

Housing Rehabilitation Programs Only

Enter the following information for all of the projects that were completed through the end of this reporting period. This will be a cumulative report. The start date is the same date the Project Financing Plan & Budget begins. To identify projects completed this quarter please place an asterisk by the property address.

Housing Unit Address	Date completed	Lead Paint treatment or abatement	Housing Renter or Owner	Elderly	Loan or Grant	Loan or Grant Amount	Other funding sources amount Code	Female H/H	Income data	Ethnicity	Race (Use codes below)
								L 30% 80%	30-50% 50-	Hispanic	
11 Stone Court	3/16/16	None	Owner	Yes	Loan	6,200.00		Yes		X	W
9 Osprey Drive	5/26/16	None	Owner	Yes	Loan	30,000.00		Yes	X		B/A/A
8 Naomi Drive	5/13/16	None	Owner	No	Loan	14,982.00		Yes	X		W
146 Lambown Road	5/24/16	Treatment	Owner	Yes	Loan	26,684.68		Yes	X		W
15 Highview Terrace	7/28/16	None	Owner	Yes	Loan	11,577.00		No	X		W
5 Highland Drive	9/27/16	None	Owner	No	Loan	30,815.00		No		X	W
8 Barry Drive	10/12/16	None	Owner	Yes	Loan	20,050.00		Yes		X	W
5 Mill Cove Road	1/11/17	None	Owner	Yes	Loan	15,780.00		Yes	X		W
2 Model Park Road	3/8/17	None	Owner	No	Loan	14,290.00		No		X	W
936 Col. Ledyard Highway	2/22/17	None	Owner	No	Loan	9,535.00		No		X	W
64 Spicer Hill Road	3/8/17	None	Owner	Yes	Loan	31,415.81		Yes	X		W
* 923 Long Cove Road #5	4/6/17	None	Owner	Yes	Loan	5,885.66		Yes		X	W
* 130 Christy Hill Road	5/17/17	None	Owner	No	Loan	26,095.00		No		X	W
* 8 Blackwatch Lane	5/17/17	None	Owner	No	Loan	21,515.00		No		X	W
*33 Highland Drive	5/17/17	None	Owner	No	Loan	32,840.48		No		X	W

Codes for Other Funding Sources:

1. Section 108 Loan Guarantees
2. HOME
3. Other Federal Funds
4. State or Local Funds
5. Private Funds
6. Other

Racial Codes:

- White: W
 Black/African American: B/A/A
 Black African American & White: B/A/A&W
 Asian: A
 Asian & White: AW
 Native Hawaiian/Other Pacific Islander: NH/OPI
 American Indian/Alaskan Native: AI/AN
 American Indian/Alaskan Native & White: AI/ANW
 American Indian/Alaskan Native
 & Black African American AI/AN&B/A/A
 Other Multi-Racial: OMR

**HOUSING ACTIVITIES
CUMULATIVE BENEFICIARY DATA REPORT
PROGRAM START DATE THROUGH THIS QUARTER**

Complete this report for all housing activities. Report the beneficiaries as households.

Name of Activity: Housing Rehabilitation

Enter the total number of households: 15

INCOME DATA

	Owner	Renter
1. Total number of Extremely Low Income Households (Income does not exceed 30% of area median income)	_____	_____
2. Total number of Low Income Households (Income exceeds 30% but does not exceed 50% of area median income)	<u>7</u>	_____
3. Total number of Moderate-Income Households: (Income exceeds 50% but does not exceed 80% of area median income)	<u>8</u>	_____
4. Total number of Non Low- and Moderate-Income Households: (Income exceeds 80% of area median income)	_____	_____

OWNER/RENTER, RACIAL, ETHNIC, & FEMALE-HEADED HOUSEHOLD DATA

Report the racial/ethnic data separately for the owners and renters that were assisted by this housing activity. Use the race/ethnicity of the head-of-household to determine the race of the household. The total households in the "All" column of the "Total" section of the table must equal the total number of households. Report the number of female-headed households.

1. Racial and Ethnic Classifications

Racial Classification	Owner		Renter		Total	
	All	Hisp	All	Hisp	All	Hisp
White	14	2			14	2
Black/African American	1				1	
Asian						
American Indian/Alaskan Native						
Native Hawaiian/Other Pacific Islander						
American Indian/Alaskan Native & White						
Asian & White						
Black/African American & White						
American Indian/Alaskan Native & Black/African American						
Other Multi-Racial						
Totals:					15	2

2. Number of Female Headed Households 8 8

**HOUSING ACTIVITIES
BENEFICIARY DATA REPORT
PROGRAM YEAR START DATE (7/1/16) THROUGH THIS QUARTER**

Complete this report for all housing activities. Report the beneficiaries as households. Do not report any data for prior program years.

Name of Activity: Housing Rehabilitation

Enter the total number of households: 11

INCOME DATA

	Owner	Renter
1. Total number of Extremely Low Income Households (Income does not exceed 30% of area median income)	_____	_____
2. Total number of Low Income Households (Income exceeds 30% but does not exceed 50% of area median income)	<u>4</u>	_____
3. Total number of Moderate-Income Households: (Income exceeds 50% but does not exceed 80% of area median income)	<u>7</u>	_____
4. Total number of Non Low- and Moderate-Income Households: (Income exceeds 80% of area median income)	_____	_____

OWNER/RENTER, RACIAL, ETHNIC, & FEMALE-HEADED HOUSEHOLD DATA

Report the racial/ethnic data separately for the owners and renters that were assisted by this housing activity. Use the race/ethnicity of the head-of-household to determine the race of the household. The total households in the "All" column of the "Total" section of the table must equal the total number of households. Report the number of female-headed households.

1. Racial and Ethnic Classifications

Racial Classification	Owner		Renter		Total	
	All	Hisp	All	Hisp	All	Hisp
White	11	2			11	2
Black/African American						
Asian						
American Indian/Alaskan Native						
Native Hawaiian/Other Pacific Islander						
American Indian/Alaskan Native & White						
Asian & White						
Black/African American & White						
American Indian/Alaskan Native & Black/African American						
Other Multi-Racial						
Totals:	11				11	2

2. Number of Female Headed Households 4 4

**HOUSING REHABILITATION ACTIVITIES
CUMULATIVE PERFORMANCE MEASUREMENTS REPORT
PROGRAM START DATE THROUGH THIS QUARTER**

Complete this report for all housing rehabilitation activities.

Name of Activity: Housing Rehabilitation

TOTAL RENTAL UNITS

1) Total rental units rehabilitated during the program year through this quarter:	
2) Rental units that are subject to affordability requirements:	
3) Rental units that were made Section 504 accessible:	
4) Rental units that were brought from substandard to standard condition:	
5) Rental units that were qualified as Energy Star:	
6) Rental units that were brought into compliance with lead safety rules:	
7) Rental units that were created by converting non-residential buildings to residential buildings.	

AFFORDABLE RENTAL UNITS

1) Number of years of affordability:	
2) Affordable rental units occupied by the elderly:	
3) Affordable rental units subsidized by Federal, State, or Local project-based rental assistance:	
4) Affordable rental units designated for persons with HIV/AIDS (including those units that are subsidized by project based rental assistance or other assistance for services and/or operations):	
5) Affordable rental units designated for persons with HIV/AIDS who are chronically homeless (This is a sub-set of the units reported on line 4.):	
6) Affordable rental units that provide permanent housing for homeless persons and families (including those units that are subsidized by project-based rental assistance or other assistance for services and/or operations):	
7) Affordable rental units that provide permanent housing for homeless persons and families who are chronically homeless (This is a sub-set of the units reported on line 6.):	

OWNER-OCCUPIED UNITS

1) Total Owner-occupied units rehabilitated during the program year through this quarter:	15
2) Owner-occupied units occupied by the elderly:	8
3) Owner-occupied units that were brought from substandard to standard condition:	15
4) Owner-occupied units that were qualified as Energy Star:	0
5) Owner-occupied units that were made Section 504 accessible:	0
6) Owner-occupied units that were brought into compliance with lead safety rules:	1

LEAD PAINT

1) Total # of Housing units constructed before 1978	1
2) Total # of Exempt: Housing units constructed 1978 or later	3
3) Total # of Exempt: Hard Costs <= \$5,000	0
4) Total # of Otherwise Exempt	11
Lead hazard Remediation Actions (for housing rehabilitation only)	
5) # of Lead Safe Work Practices (24 CFR 35.930 b) (hard costs <= \$5,000)	0
6) # Interim Controls or Standard Practices (24 CFR 35.930c) (hard costs \$5,000 – 25,000)	1
07) # Abatement (24 CFR 35.930d) (Hard Costs over \$25,000)	0

**HOUSING REHABILITATION ACTIVITIES
PERFORMANCE MEASUREMENTS REPORT
PROGRAM YEAR START DATE (7/1/16) THROUGH THIS QUARTER**

Complete this report for all housing rehabilitation activities. Do not report any data for prior program years.

Name of Activity: Housing Rehabilitation

TOTAL RENTAL UNITS

1) Total rental units rehabilitated during the program year through this quarter:	
2) Rental units that are subject to affordability requirements:	
3) Rental units that were made Section 504 accessible:	
4) Rental units that were brought from substandard to standard condition:	
5) Rental units that were qualified as Energy Star:	
6) Rental units that were brought into compliance with lead safety rules:	
7) Rental units that were created by converting non-residential buildings to residential buildings.	

AFFORDABLE RENTAL UNITS

1) Number of years of affordability:	
2) Affordable rental units occupied by the elderly:	
3) Affordable rental units subsidized by Federal, State, or Local project-based rental assistance:	
4) Affordable rental units designated for persons with HIV/AIDS (including those units that are subsidized by project based rental assistance or other assistance for services and/or operations):	
5) Affordable rental units designated for persons with HIV/AIDS <u>who are chronically homeless</u> (This is a sub-set of the units reported on line 4.):	
6) Affordable rental units that provide <u>permanent</u> housing for homeless persons and families (including those units that are subsidized by project-based rental assistance or other assistance for services and/or operations):	
7) Affordable rental units that provide <u>permanent</u> housing for homeless persons and families who are <u>chronically homeless</u> (This is a sub-set of the units reported on line 6.):	

OWNER-OCCUPIED UNITS

1) Total Owner-occupied units rehabilitated during the program year through this quarter:	11
2) Owner-occupied units occupied by the elderly:	5
3) Owner-occupied units that were brought from substandard to standard condition:	11
4) Owner-occupied units that were qualified as Energy Star:	0
5) Owner-occupied units that were made Section 504 accessible:	0
6) Owner-occupied units that were brought into compliance with lead safety rules:	0

LEAD PAINT

1) Total # of Housing units constructed before 1978	0
2) Total # of Exempt: Housing units constructed 1978 or later	3
3) Total # of Exempt: Hard Costs <= \$5,000	0
4) Total # of Otherwise Exempt	8
Lead hazard Remediation Actions (for housing rehabilitation only)	
5) # of Lead Safe Work Practices (24 CFR 35.930 b) (hard costs <= \$5,000)	0
6) # Interim Controls or Standard Practices (24 CFR 35.930c) (hard costs \$5,000 – 25,000)	0
7) # Abatement (24 CFR 35.930d) (Hard Costs over \$25,000)	0

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8/96

PROGRESS ON LEVERAGING OF FUNDS

Only complete this form if your original application or approved amendments propose to leverage other private or public funds. Examples of leveraged dollars include the bank or homeowner share of a rehabilitation loan, funding from other state departments or your Town, which is combined with CDBG funds to make a project possible, etc. This form must be completed if your application proposed leveraging funds, even if no funds have actually been leveraged to date.

Source of Funds	(1) <u>Budgeted</u>	(2) Funds <u>In Place</u>	(3) Funds <u>Leveraged</u>	(4) <u>Anticipated</u>
Private	0	1,406.00	1,046.00	0
Eversource	0	1,368.00	1,368.00	0

-
- (1) The budgeted amount should reflect the amount of funds to be leveraged as shown in your Small Cities application or as amended.
 - (2) Funds in place should reflect the amount of funds in the possession of the Town and/or available to be combined with the CDBG funds.
 - (3) This column should reflect the actual amount of non-CDBG funds already leveraged.
 - (4) This should reflect the funds not yet available to the town but which are anticipated.

PART A

CONTRACT AND SUBCONTRACT ACTIVITY -- THIS QUARTER

Grantee Name: Ledyard DOH Grant Number: SCI507201 04 / 01 / 17 through 06 / 30 / 17

Project Name or Number	Amount of Contract	Type Of Trade (1-3)	Racial/Ethnic Code (1-6)	Woman Owned (yes/no)	Section 3 Firm (yes/no)	Contractor ID Number Or S.S. Number	Subcontractor ID Number Or S.S. Number *	Name	Street	City	State	Zip Code
072-38	5,885.66	1	1	No	No	06-1390750		G. Donovan Associates, Inc.	627 Route 32, North Franklin, CT	06254		
072-102-S	17,590.00	1	1	No	No	06-1613366		Lussier & Sons Septic Service	25 Elmwood Way, Clinton, CT	06413		
072-102-ST	485.00	1	1	No	No	06-0980881		Bob's Septic Tank Cleaning	160 Raymond Hill Road, Uncasville, CT	06382		
072-102-H	8,420.00	1	1	No	No	20-0492630		R&W Heating Energy Solutions LLC	10 Witter Road, Salem, CT	06420		
072-103	32,420.48	1	1	No	No	06-1549227	*	RER Associates LLC	75 Lustig Road, Willington, CT	06279		
	550.00	1	1	No	No		27-0463757	Briggs Electric	16 Savage Street, Preston, CT	06365		
	5,000.00	1	1	No	No		049-54-6328	M. Morin Carpentry	76 Discovery Road, Vernon, CT	06066		
072-104	8,985.00	1	1	No	No		20-0492630	R&W Heating Energy Solutions LLC	10 Witter Road, Salem, CT	06420		
	21,515.00	1	1	No	No	06-1549227	*	RER Associates LLC	75 Lustig Road, Willington, CT	06279		
	800.00	1	1	No	No		27-0463757	Briggs Electric	16 Savage Street, Preston, CT	06365		
072-104	5,000.00	1	1	No	No		049-54-6328	M. Morin Carpentry	76 Discovery Road, Vernon, CT	06066		
	6,685.00	1	1	No	No		20-0492630	R&W Heating Energy Solutions LLC	10 Witter Road, Salem, CT	06420		

Instructions:

1. Report all of the contracts and subcontracts that were executed since the start date of this activity.
2. Trade: (1) Construction (2) Education/Training (3) Other
3. Racial Code: (1) White Americans, (2) Black Americans, (3) Native Americans, (4) Hispanic Americans, (5) Asian/Pacific Americans, (6) Hasidic Jews
4. Use Social Security # only if contractor does not have an IRS Identification Number
5. Designate a Small Business Enterprise (SBE) with an asterisk (*).
6. If subcontractors are being used, list the general contractor first followed by each subcontractor.

PART B

CONTRACT AND SUBCONTRACT ACTIVITY – FEDERAL FISCAL YEAR START DATE (10/1) THROUGH THIS QUARTER

Grantee Name: Ledyard DOH Grant Number: SC1507201 10 / 1 / 16 through 6 / 30 / 17

Project Name or Number	Amount of Contract	Type Of Trade (1-3)	Racial/Ethnic Code (1-6)	Woman Owned (yes/no)	Section 3 Firm (yes/no)	Contractor ID Number Or S.S. Number	Subcontractor ID Number Or S.S. Number *	Name	Street	City	State	Zip Code
072-94-H	7,200.00	1	1	No	No	06-1200716		Andersen Oil Co.	4 Colby Drive, Ledyard, CT	06339		
	2,600.00	1	1	No	No		06-1596871	Action Abatement	348-B Maple Avenue, Uncasville, CT	06382		
072-102-H	8,420.00	1	1	No	No	20-0492630		R&W Heating Solutions LLC	10 Witter Road, Salem, CT	06420		
	1,600.00	1	1	No	No		041-665082	Lake Shore Chimney	6 Beta Avenue, Old Lyme, CT	06371		
072-85	15,380.00	1	1	No	No	044-44-1025		Sterling Painting & Construction	60 Johnson Lane, Madison, CT	06443		
	1,650.00	1	1	No	No		06-1278039	East River Overhead Door	5 Tipping Place, Madison, CT	06443		
072-78	398.00	1	1	No	No		06-1592807	Beaver Electric	8 Fielding Terrace, E. Windsor, CT	06382		
	15,336.00	1	1	No	No	06-1557940	*	JWP Building LLC	1 Knollwood Drive, Canterbury, CT	06331		
072-79	2,200.00	1	1	No	No		20-2373798	Walter Electric LLC	PO Box 5 East Lyme, CT	06333		
	2,200.00	1	1	No	No		06-1068472	RC Plumbing & Heating	402 Main Street, Baltic, CT	06330		
072-90-R	31,415.81	1	1	No	No	27-0132286		D'Amato Builders & Advisors LLC	40 Connecticut Avenue, Norwich, CT	06360		
	8,750.00	1	1	No	No		06-1578423	S.W. Debs LLC	503 Fabyan Road, N. Grovensorsdale, CT	06255		
072-79	1,600.00	1	1	No	No		06-1370344	Northern Lights	4 Hanover Farms Road, Bolton, CT	06043		
	9,535.00	1	1	Yes	No	06-1549227	*	RER Associates, LLC	75 Lustig Road, Willington, CT	06279		
	260.54	1	1	No	No		06-1411759	Fox Heating Service	37 Westford Avenue, Stafford Springs CT			

	350.00	1	1	No	No		27-0463757	Briggs Electric 16 Savage Road, Preston, CT 06279
	3,570.00	1	1	No	No		049-54-6328	M. Morin Carpentry 76 Discovery Road, Vernon, CT 06066
Various	1,530.00	3	1	No	No		06-1501353	Boston Lead 62 Washington Street, Middletown, CT 06457
072-38	5,885.66	1	1	No	No	06-1390750		G. Donovan Associates, Inc. 627 Route 32, North Franklin, CT 06254
072-102-S	17,590.00	1	1	No	No	06-1613366		Lussier & Sons Septic Service 25 Elmwood Way, Clinton, CT 06413
072-102-ST	485.00	1	1	No	No	06-0980881		Bob's Septic Tank Cleaning 160 Raymond Hill Road, Uncasville, CT 06382
072-102-H	8,420.00	1	1	No	No	20-0492630		R&W Heating Energy Solutions LLC 10 Witter Road, Salem, CT 06420
	32,420.48	1	1	No	No	06-1549227	*	RER Associates LLC 75 Lustig Road Willington, CT 06279
072-103	550.00	1	1	No	No		27-0463757	Briggs Electric 16 Savage Street, Preston, CT 06365
	5,000.00	1	1	No	No		049-54-6328	M. Morin Carpentry 76 Discovery Road, Vernon, CT 06066
	8,985.00	1	1	No	No		20-0492630	R&W Heating Energy Solutions LLC 10 Witter Road, Salem, CT 06420
	21,515.00	1	1	No	No	06-1549227	*	RER Associates LLC 75 Lustig Road Willington, CT 06279
072-104	800.00	1	1	No	No		27-0463757	Briggs Electric 16 Savage Street, Preston, CT 06365
	5,000.00	1	1	No	No		049-54-6328	M. Morin Carpentry 76 Discovery Road, Vernon, CT 06066
	6,685.00	1	1	No	No		20-0492630	R&W Heating Energy Solutions LLC 10 Witter Road, Salem, CT 06420

Instructions:

- Report all of the contracts and subcontracts that were executed since the start date of this activity.
- Trade: (1) Construction (2) Education/Training (3) Other
- Racial Code: (1) White Americans, (2) Black Americans, (3) Native Americans, (4) Hispanic Americans, (5) Asian/Pacific Americans, (6) Hasidic Jews
- Use Social Security # only if contractor does not have an IRS Identification Number
- Designate a Small Business Enterprise (SBE) with an asterisk (*).
- If subcontractors are being used, list the general contractor first followed by each subcontractor.

Quarterly Report

State of Connecticut Department of Housing

1. Name of Grantee: TOWN OF LEDYARD
 2. Grant Number: SC1507201
 3. HUD Grant Number: 072-SC-15
 4. Reporting Period: 4/1/17 to 6/30/17

Page 1 of 3

Line	Original Amt Approved	Current Amt Approved	Amount Encumbered	Advances Received * this Quarter	YTD	Amt Expended this Quarter	YTD
1a.	ACQUISITION OF REAL PROPERTY						
b.	Program Costs						
2a.	DISPOSITION						
b.	Program Costs						
3a.	CLEARANCE AND DEMOLITION						
b.	Program Costs						
4.	COMMUNITY FACILITIES AND IMPROVEMENTS						
a.	Senior Centers						
b.	Program Costs						
c.	Recreational Facilities						
d.	Program Costs						
e.	Centers for the Handicapped						
f.	Program Costs						
g.	Neighborhood Facilities						
h.	Program Costs						
5.	WATER/SEWER/FLOOD AND DRAINAGE						
a.	Water Facilities						
b.	Program Costs						
c.	Sewer Facilities						
d.	Program Costs						
e.	Flood & Drainage Facilities						
f.	Program Costs						
g.	Assessments						
h.	Program Costs						
i.	Other Utilities						
j.	Program Costs						
6.	STREETS						
a.	Street Improvements						
b.	Program Costs						

Quarterly Report

State of Connecticut Department of Housing

1. Name of Grantee: TOWN OF LEDYARD
 2. Grant Number: SC1507201
 3. HUD Grant Number: 072-SC-15
 4. Reporting Period: 4/1/17 to 6/30/17

Page 2 of 3

Line	Original Amt Approved	Current Amt Approved	Amount Encumbered	Advances Received * this Quarter	YTD	Amt Expended this Quarter	YTD
c. Sidewalk/Ped.Malls/Streetscape							
d. Program Costs							
e. Parking Facilities							
f. Program Costs							
7a. INTERIM EMERGENCY REPAIRS							
b. Program Costs							
8a. OTHER PUBLIC FACILITIES							
b. Program Costs							
9a. REMOVAL OF ARCHITECTURAL BARRIERS							
b. Program Cost							
10a.PUBLIC/SOCIAL SERVICES							
b. Program Costs							
11a.APPROVED URBAN RENEWAL							
b. Program Costs							
12a.RELOCATION							
b. Program Costs							
13. REHABILITATION/PRESERVATION AND HOUSING ACTIVITIES							
a. Rehabilitation of Public Residential Structures							
b. Program Costs							
c. Rehabilitation of Private Residential Structures	\$319,000.00	\$319,000.00	\$0.00	\$69,920.13	\$298,059.62	\$69,920.13	\$298,059.62
d. Program Costs	\$48,000.00	\$48,000.00	\$0.00	\$5,000.00	\$47,232.60	\$5,000.00	\$47,232.60
e. Code Enforcement							
f. Program Costs							
g. Historic Preservation							
h. Program Costs							
i.Construction of Housing-Sec 17							
j. Program Costs							
k. Public Housing Modernization							
l. Program Costs							

Quarterly Report

State of Connecticut Department of Housing

1. Name of Grantee: TOWN OF LEDYARD
 2. Grant Number: SC1507201
 3. HUD Grant Number: 072-SC-15
 4. Reporting Period: 4/1/17 to 6/30/17

Page 3 of 3

Line	Original Amt Approved	Current Amt Approved	Amount Encumbered	Advances Received * this Quarter	Amt Expended this Quarter	YTD	YTD
m. Rehabilitation of Commercial Buildings							
n. Program Costs							
14. ECONOMIC DEVELOPMENT ACTIVITIES							
a. Job Creation/Retention for Private For-Profit Corp.							
b. Program Costs							
c. Job Creation/Retention for Private Non-Profit Corp.							
d. Program Costs							
15a. SPECIAL ACTIVITIES BY NON-PROFIT CORP.							
b. Program Costs							
16a. DOWNPAYMENT ASSISTANCE							
b. Program Costs							
18. PLANNING							
a. Development of a Comprehensive Community Development Plan							
b. Program Costs							
c. Development of a Policy-Mgmt-Capacity Building							
d. Program Costs							
e. Special Functional Planning Activities							
f. Program Costs							
19. GENERAL ADMINISTRATION							
a. General Management, Oversight and Coordination	\$33,000.00	\$33,000.00	\$0.00	\$3,450.00	\$3,450.00	\$22,900.00	\$22,900.00
b. Indirect Costs							
c. Citizen Participation							
d. Environmental Assessment							
20. CONTINGENCIES (Not to exceed 10% of total Grant amount)							
21. Total All Line Items	\$400,000.00	\$400,000.00	\$0.00	\$78,370.13	\$78,370.13	\$368,192.22	\$368,192.22

* Includes Pending Drawdowns

Revised 9/06

PROGRAM INCOME STATEMENT

ONLY COMMUNITES GENERATING PROGRAM REVENUE (PR) NEED TO COMPLETE THIS FORM

Reporting Period: 4/1/2017 to 6/30/2017 Community: Ledyard

Report Prepared By: L. Wagner & Associates, Inc.

PROGRAM REVENUE FOR THE QUARTER		PROGRAM INCOME BALANCES & EXPENDITURES			ACTIVITY		
Program Revenue For The Quarter	Bank Interest Earned	RLA and Program Income (PI) Accounts		Program Income Expended	Balance	Activity on which PI was expended	Accomplishments achieved w/PI funded activities [# people asst, % L/M # units rehabed, etc.] and National Obj.
		Beginning Balance (2)	Total PI Deposited This Quarter				
{1}	{2a}	{3a}	{3b}	{4}	{5}	{6}	{7}
HRLR		\$24,111.25	\$14.87	\$10.00	\$24,116.12		
TOTAL		\$24,111.25	\$14.87	\$10.00	\$24,116.12		

- (1) List source of Program Revenue (PR) e.g. repayment from a specific grant, repayment from a Revolving Loan Account (RLA) or repayment from a Program Income fund account.
- (2) The beginning Balance is the ending Balance reported on the most recent previous PI Report, whether Annual or Quarterly. List accounts separately
- (3) PI expended should be listed in this column, do not list the expenditure of Miscellaneous Revenue (MR) (see Chapter 9 page 9-2 of the Grant Management Manual for definition of MR).

ANNUAL PROGRAM INCOME STATEMENT

ONLY COMMUNITIES GENERATING PROGRAM REVENUE (PR) NEED TO COMPLETE THIS FORM

Reporting Period: July 1, 2016 TO June 30, 2017 Community: Ledyard

Report Prepared By: L. Wagner & Associates, Inc.

PROGRAM REVENUE FOR THE QUARTER		PROGRAM INCOME BALANCES & EXPENDITURES				ACTIVITY			
Program Revenue For The Quarter	List Source ⁽¹⁾	Bank Interest Earned	Total Program Revenue	RLA and Program Income (PI) Accounts		Program Income Expended	Balance	Activity on which PI was expended	Accomplishments achieved w/PI funded activities [# people asst, % L/M # units rehabed, etc.] and National Obj.
				Beginning Balance ⁽²⁾	Total PI Deposited This Year				
	{1}	{2a}	{2b}	{3a}	{3b}	{4}	{5}	{6}	{7}
HRLR				\$684.41	\$25,191.71	\$1,760.00	\$24,116.12		
TOTAL				\$684.41	\$25,191.71	\$1,760.00	\$24,116.12		

(1) List source of Program Revenue (PR) e.g. repayment from a specific grant, repayment from a Revolving Loan Account (RLA) or repayment from a Program Income fund account.
 (2) The beginning Balance is the ending Balance reported on the most recent previous PI Report, whether Annual or Quarterly. List accounts separately.
 (3) PI expended should be listed in this column, do not list the expenditure of Miscellaneous Revenue (MR) (see Chapter 9 page 9-2 of the Grant Management Manual for definition of MR).

Section 3 Summary Report
 Economic Opportunities for
 Low — and Very Low-Income Persons

U.S. Department of Housing
 and Urban Development
 Office of Fair Housing
 And Equal Opportunity

OMB Approval No: 2529-0043
 (exp. 11/30/2010)

HUD Field Office:
Hartford

Section back of page for Public Reporting Burden statement

1. Recipient Name and Address: (street, city, state, zip) N/A	2. Federal Identification: (grant no.) SC 1507201	3. Total Amount of Award: 300,000.00
	4. Contact Person: L. Wagner & Associates	5. Phone: (include area code) (203) 573-1188
	6. Length of Grant:	7. Reporting Period: 04/01/17-06/30/17
8. Date Report Submitted: 06/30/17	9. Program Code: (Use separate sheet for each program code) 8	10. Program Name: CDBG – State Administered

Part I: Employment and Training (Columns B, C and F are mandatory fields. Include New Hires in E & F)**

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List Trade)	0				
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total	0				

Program Codes
 1 = Flexible Subsidy
 2 = Section 2021811

3= Public/Indian Housing
 A = Development,
 B = Operation
 C = Modernization

4 = Homeless Assistance
 5 = HOME
 6 = HOME State Administered
 7 = CDBG Entitlement

8 =CDBG State Administered
 9 =Other CD Programs
 10 =Other Housing Programs

Part II: Contracts Awarded**1. Construction Contracts:**

A. Total dollar amount of all contracts awarded on this project	\$ 86,316.14
B. Total dollar amount of contracts awarded to Section 3 businesses	\$0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount of all non construction contracts awarded on the project/activity	\$ 400.00
B. Total dollar amount of all non construction contracts awarded to Section 3 businesses	\$0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.