

DOH Form S-730
Rev. 3/15/14

**State of Connecticut
Department of Housing**

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM
QUARTERLY GRANTEE PROGRESS REPORT**

Grantee Name: <u>Town of Ledyard</u>	Reporting Period:
Grant Number: <u>SC1507201</u>	From: <u>01/01/17</u>
	To: <u>03/31/17</u>

THE GRANTEE CERTIFIES THAT:

- (a) To the best of its knowledge and belief the data in this report was true and correct as of dates shown above.
- (b) The required records are being maintained and will be made available upon request.
- (c) Federal assistance made available under the Small Cities CDBG Program is not being utilized to reduce substantially the amount of local Financial Support for community development activities below the level of such support prior to the start of the CDBG Program Year.

Prepared By:
LAURENCE E. WAGNER
PROGRAM COORDINATOR

Typed or Printed Name and Title

Signature

4/24/17
Date

(203) 573-1188
Tel. No.

Local Authorized Official:
MICHAEL FINKELSTEIN
MAYOR

Typed or Printed Name and Title

Signature

4/24/2017
Date

(203) 468-3204
Tel. No.

SUPPORTING NARRATIVE**PROGRESS ON PLANNED ACTIVITIES**

For each program activity, briefly describe its current status. The description should combine a narrative of your progress and accomplishments of your activities.

Any special circumstances or problems that have kept you from meeting the Program Schedule submitted with your application should be explained.

Please attach any additional information such as newspaper clippings, pictures, etc.

Project 072-65 11 Stone Court

This project was completed and reported as such in 2016 1st quarter quarterly report.

Project # 072-66 3 Parkwood Drive

Project withdrawn due to lack of equity.

Project # 072-67 24 Church Hill Road

This application was withdrawn due to the household being over income.

Project # 072-68 9 Osprey Drive

The work on this project was completed and reported as such in the 2016 2nd quarter quarterly report.

Project # 072-69 5 Highland Drive

The work on this project was completed and reported as such in the 2016 3rd quarter quarterly report.

Project # 072-70 178 Avery Hill Road

This project is on hold at the owners' request.

Project # 072-71 459 Col. Ledyard Highway

This application is withdrawn due to the lack of response from the owner.

Project # 072-72 8 Naomi Drive

The work on this project was completed and reported as such in the 2016 2nd quarter quarterly report.

Project # 072-73 146 Lambtown Road

This Project was addressed in 2 phases. 1) Replacement of an oil-fired boiler and oil tank & 2) General Rehab. The work on the heating and oil tank replacement phase of project was completed and reported as such in the 2016 1st quarter quarterly report.

The work on the general rehab phase of the project was completed and reported as such in the 2016 2nd quarter quarterly report.

Project # 072-74 62 Silas Deane Highway

This application was withdrawn due to the household being over income.

Project # 072-75 66 Silas Deane Highway

This application was withdrawn due to the household being over income.

Project # 072-76 135 Gallop Hill Road

This application was withdrawn due to the household being over income.

Project # 072-77 14 Highview Terrace

The work on this project was completed and reported as such in the 2016 3rd quarter quarterly report.

Project # 072-78 2 Model Park Road

The Contracts were signed on December 12, 2106 between the owner and JWP Building of Canterbury. The improvement includes the installation new kitchen cabinets and countertops, installation of GFCI outlets at the kitchen backsplash, installation of an anti-scald pressure balanced shower diverter, a ducted exhaust fan in the bathroom and the installation of smoke and carbon monoxide detectors. The Certificate of Completion was issued by all parties on March 8, 2017.

Project # 072-79 936 Colonel Ledyard Highway

Contracts were signed on December 12, 2106 and the Certificate of Completion was signed on February 22, 2017. The scope work included the installation of vinyl replacement windows, and a patio door, electrical upgrades and the installation of a tempering valve on the boiler.

Project # 072-80 1807 Center Groton Road

The owner was referred to Eversource for funding as the requested work was a perfect match with their program that would result in the owner having the work done at little to no cost to her.

Project # 072-81 7 Thompson Street

This application was withdrawn due to the household being over income.

Project # 072-82 21 N. Glenwoods Road

The owner requested his application be withdrawn from participation as he already had the work completed.

Project # 072-83 44 Overlook Road

This application is withdrawn due to the lack of response from the owner.

Project # 072-84 40 Blacksmith Road

No further information was received from the owner during the past quarter despite several attempts. The project will remain open allowing the owner the opportunity to respond and participate if eligible.

Project # 072-85 5 Mill Cove Road

The work on this project was completed during the 2016 4th quarter but the Project was not closed out until the contractor provided all of the required documentation. The Contractor provided the required information and the Certificate of Completion was signed on January 11, 2017. The scope of work included door & window replacement, installation of vinyl siding, and smoke and carbon monoxide detectors.

Project # 072-86 1535 Route 12

This application was deemed ineligible for participation due to a reverse equity mortgage on the property.

Project # 072-87 64 Spicer Hill Road

This is a duplicate application and is being addressed under project # 072-90.

Project # 072-88 243 Haley Road

The owner requested his application be withdrawn from participation as he already had the work completed.

Project # 072-89 1709 Center Groton Road

The owner withdrew from the Program within her 3 day right of recession period after the contract signing and loan closing. The owner was apparently overwhelmed with the thought of dealing with the renovations and withdrew. Nearly a year had passed since the initial inspection occurred in an attempt to allow the owner the necessary time to deal with her terminally ill family member and other personal matters. Every attempt was made to accommodate the owner's needs but the project could not be salvaged resulting in loss of valuable time and the limited resources available to administer this Program.

Project # 072-90 64 Spicer Hill Road

This project is being addressed in 2 phases. 1) Furnace replacement & 2) General rehabilitation in an attempt save the owner money by dealing directly with the performing contractor.

The furnace replacement phase of the project was completed and reported as such in the 2016 3rd quarter quarterly report.

The general rehab portion of the project was bid twice with only one bid received on the first attempt. That bid was returned to the Contractor and the project re-advertised. The second round of bidding yielded 5 bids with D'Amato Builders submitting the lowest bid. Contracts were signed on October 5, 2016 and the Certificate of Completion was signed on March 8, 2017. The rehab work includes the replacement of a failed flat rubber roof, electrical upgrades, flooring and minor interior carpentry.

Project # 072-91 43 Blacksmith Road

The owner has re-emerged after several months of no contact. The initial inspection was originally conducted on April 19, 2016. The owner needed to submit current income information on all household members. The owner submitted the information on September 29, 2016 and was qualified for participation. Upon being qualified the owner again did not respond to attempts to continue processing the application. She re-emerged in early-March requesting funding for a ramp for her elderly mother. Due to the lack of funds remaining the project may not proceed. Once the active projects are completed a review of the funds will be performed and a determination made.

Project # 072-92 37 Stony Brook Road

This application was withdrawn due to lack of response from the applicant.

Project # 072-93 11 Black Watch Lane

This application was withdrawn due to the household being over income.

Project # 072-94 8 Barry Drive

This project was addressed in two phases, heating & asbestos abatement & general rehabilitation. Both projects were completed and reported as such in the 2016 4th quarter quarterly report.

Project # 072-95 1425 Quinnipiac Ave

This application was withdrawn as the owner was looking for funding to purchase a home in Ledyard.

Project # 072-96 967 Long Cove Road #10

The owner requested his application be withdrawn from participation as they already had the work completed.

Project # 072-97 3 Coachman Pike

This application was withdrawn due to the household being over income.

Project # 072-98 932 Long Cove Road #5

Contracts were signed on September 20, 2016 for the proposed renovations. The work includes the installation of a new front entry door & storm, handrails & guard rails on an exterior porch and smoke and carbon monoxide detectors.

Project # 072-99 5 Vinegar Hill Road

This application was withdrawn due to the household being over income.

Project # 072-100 11 Pennywise Lane

Project withdrawn due to lack of equity.

Project # 072-101 25 Village Drive

This application was withdrawn due to the household being over income.

Project # 072-102 130 Christy Hill Road

The owner has requested funding to replace a failed septic system and a failed hot water boiler. Due to the diverse nature of the projects the work will be addressed in 3 phases.

1. Soil testing (for the purpose of septic design), 2. Septic repair 3. Heating.

The soil testing was completed on December 1, 2016. The information obtained through the testing allowed for the technical specifications to be prepared. Bids were received on December 12, 2016 with Lussier & Sons Septic Service of Clinton submitting the lowest bid. Contracts were signed on January 11, 2017 with an alternate for a pump system in the event that a gravity system can't be installed. The work will start as soon as the ground conditions permit.

The boiler replacement was completed and reported as such in the 2016 4th quarter quarterly report.

Project # 072-103 33 Highland Drive

This application was received during the 2016 4th quarter. The project was publicly bid with RER Associates of Willington submitting the lowest responsive bid. Contracts were signed on March 8, 2017 in the amount of \$29,175.00. The scope of work includes roofing, heating, carpentry, electrical, oil tank replacement and window replacement.

Project # 072-104 8 Blackwatch Lane

This application was received during the 2016 4th quarter. The project was publicly bid with RER Associates of Willington submitting the lowest responsive bid. Contracts were signed on March 15, 2017 in the amount of \$21,190.000. The scope of work includes roof, installation of egress windows in a basement bedroom, electrical and plumbing.

Project # 072-105 57 Harvard Terrace

This application has been withdrawn from the waiting list due to lack of response from the owner.

Project # 072-106 25 Hickory Drive

This application was received on December 1, 2016. The lead paint inspection was performed and no lead based paint was found. The specifications are being reviewed by the owner and once signed and returned the project will be put out to bid.

Project # 072-107 1758 Route 12

The owner withdrew her application due to marital issues.

Project # 072-108 17 Old Colony Road

The owner withdrew his application and decided to peruse other options for the replacement of his water heater.

Project # 072-109 11 Parkwood Drive

This application was received on March 1, 2017. The initial inspection was performed on March 8th. The owner applied for funding for the replacement of the boiler, replacement windows, and vinyl siding. The owner was referred to the Energize CT Program for the possibility of receiving replacement windows and insulation. If approved for these improvements through the Energize CT Program the remaining work will be put to bid.

Small Cities Form QR-A-1

New 06/2013

Grant Number: SC1507201
 Contract Start Date: 12/15/15
 through Date: 12/31/16

**CUMULATIVE ACTIVITY ACCOMPLISHMENTS REPORT
 ACTUAL ACCOMPLISHMENTS DATA
 THROUGH THIS QUARTER**

Housing Rehabilitation Programs Only

Enter the following information for all of the projects that were completed through the end of this reporting period. This will be a cumulative report. The start date is the same date the Project Financing Plan & Budget begins. To identify projects completed this quarter please place an asterisk by the property address.

Housing Unit Address	Date completed	Lead Paint treatment or abatement	Housing Renter or Owner	Elderly	Loan or Grant	Loan or Grant Amount	Other funding sources amount Code	Female H/H	Income data			Ethnicity	Race (Use codes below)
									L 30%	30-50%	50-80%		
11 Stone Court	3/16/16	None	Owner	Yes	Loan	6,200.00		Yes			X		W
9 Osprey Drive	5/26/16	None	Owner	Yes	Loan	30,000.00		Yes		X			B/AA
8 Naomi Drive	5/13/16	None	Owner	No	Loan	14,982.00		Yes		X			W
146 Lambtown Road	5/24/16	Treatment	Owner	Yes	Loan	26,684.68		Yes		X			W
15 Highview Terrace	7/28/16	None	Owner	Yes	Loan	11,577.00		No		X			W
5 Highland Drive	9/27/16	None	Owner	No	Loan	30,815.00		No			X		W
8 Barry Drive	10/12/16	None	Owner	Yes	Loan	20,050.00		Yes			X		W
* 5 Mill Cove Road	1/11/17	None	Owner	Yes	Loan	15,780.00		Yes		X			W
* 2 Model Park Road	3/8/17	None	Owner	No	Loan	14,290.00		No			X		W
* 936 Colonel Ledyard Highway	2/22/17	None	Owner	No	Loan	9,535.00		No			X		W
* 64 Spicer Hill Road	3/8/17	None	Owner	Yes	Loan	31,415.81		Yes			X		W

Codes for Other Funding Sources:

1. Section 108 Loan Guarantees
2. HOME
3. Other Federal Funds
4. State or Local Funds
5. Private Funds
6. Other

Racial Codes:

- White: W
 Black/African American: B/AA
 Black African American & White: B/AA&W
 Asian: A
 Asian & White: AW

- Native Hawaiian/Other Pacific Islander: NH/OPI
 American Indian/Alaskan Native: AI/AN
 American Indian/Alaskan Native & White: AI/ANW
 American Indian/Alaskan Native & Black African American: AI/AN&B/AA
 Other Multi-Racial: OMR

**HOUSING ACTIVITIES
CUMULATIVE BENEFICIARY DATA REPORT
PROGRAM START DATE THROUGH THIS QUARTER**

Complete this report for all housing activities. Report the beneficiaries as households.

Name of Activity: Housing Rehabilitation

Enter the total number of households:

11

INCOME DATA

	Owner	Renter
1. Total number of Extremely Low Income Households (Income does not exceed 30% of area median income)	_____	_____
2. Total number of Low Income Households (Income exceeds 30% but does not exceed 50% of area median income)	<u>6</u>	_____
3. Total number of Moderate-Income Households: (Income exceeds 50% but does not exceed 80% of area median income)	<u>5</u>	_____
4. Total number of Non Low- and Moderate-Income Households: (Income exceeds 80% of area median income)	_____	_____

OWNER/RENTER, RACIAL, ETHNIC, & FEMALE-HEADED HOUSEHOLD DATA

Report the racial/ethnic data separately for the owners and renters that were assisted by this housing activity. Use the race/ethnicity of the head-of-household to determine the race of the household. The total households in the "All" column of the "Total" section of the table must equal the total number of households. Report the number of female-headed households.

1. Racial and Ethnic Classifications

Racial Classification	Owner		Renter		Total	
	All	Hisp	All	Hisp	All	Hisp
White	10	1			10	1
Black/African American	1				1	
Asian						
American Indian/Alaskan Native						
Native Hawaiian/Other Pacific Islander						
American Indian/Alaskan Native & White						
Asian & White						
Black/African American & White						
American Indian/Alaskan Native & Black/African American						
Other Multi-Racial						
Totals:	11				11	1

2. Number of Female Headed Households

7

7

**HOUSING ACTIVITIES
BENEFICIARY DATA REPORT
PROGRAM YEAR START DATE (7/1/16) THROUGH THIS QUARTER**

Complete this report for all housing activities. Report the beneficiaries as households. Do not report any data for prior program years.

Name of Activity: Housing Rehabilitation

Enter the total number of households: 7

INCOME DATA

	Owner	Renter
1. Total number of Extremely Low Income Households (Income does not exceed 30% of area median income)	_____	_____
2. Total number of Low Income Households (Income exceeds 30% but does not exceed 50% of area median income)	<u>3</u>	_____
3. Total number of Moderate-Income Households: (Income exceeds 50% but does not exceed 80% of area median income)	<u>4</u>	_____
4. Total number of Non Low- and Moderate-Income Households: (Income exceeds 80% of area median income)	_____	_____

OWNER/RENTER, RACIAL, ETHNIC, & FEMALE-HEADED HOUSEHOLD DATA

Report the racial/ethnic data separately for the owners and renters that were assisted by this housing activity. Use the race/ethnicity of the head-of-household to determine the race of the household. The total households in the "All" column of the "Total" section of the table must equal the total number of households. Report the number of female-headed households.

1. Racial and Ethnic Classifications

Racial Classification	Owner		Renter		Total	
	All	Hisp	All	Hisp	All	Hisp
White	7	1			7	1
Black/African American						
Asian						
American Indian/Alaskan Native						
Native Hawaiian/Other Pacific Islander						
American Indian/Alaskan Native & White						
Asian & White						
Black/African American & White						
American Indian/Alaskan Native & Black/African American						
Other Multi-Racial						
Totals:	7				7	1

2. Number of Female Headed Households 3 3

**HOUSING REHABILITATION ACTIVITIES
CUMULATIVE PERFORMANCE MEASUREMENTS REPORT
PROGRAM START DATE THROUGH THIS QUARTER**

Complete this report for all housing rehabilitation activities.

Name of Activity: Housing Rehabilitation

TOTAL RENTAL UNITS

1) Total rental units rehabilitated during the program year through this quarter:	
2) Rental units that are subject to affordability requirements:	
3) Rental units that were made Section 504 accessible:	
4) Rental units that were brought from substandard to standard condition:	
5) Rental units that were qualified as Energy Star:	
6) Rental units that were brought into compliance with lead safety rules:	
7) Rental units that were created by converting non-residential buildings to residential buildings.	

AFFORDABLE RENTAL UNITS

1) Number of years of affordability:	
2) Affordable rental units occupied by the elderly:	
3) Affordable rental units subsidized by Federal, State, or Local project-based rental assistance:	
4) Affordable rental units designated for persons with HIV/AIDS (including those units that are subsidized by project based rental assistance or other assistance for services and/or operations):	
5) Affordable rental units designated for persons with HIV/AIDS who are chronically homeless (This is a sub-set of the units reported on line 4.):	
6) Affordable rental units that provide permanent housing for homeless persons and families (including those units that are subsidized by project-based rental assistance or other assistance for services and/or operations):	
7) Affordable rental units that provide permanent housing for homeless persons and families who are chronically homeless (This is a sub-set of the units reported on line 6.):	

OWNER-OCCUPIED UNITS

1) Total Owner-occupied units rehabilitated during the program year through this quarter:	11
2) Owner-occupied units occupied by the elderly:	7
3) Owner-occupied units that were brought from substandard to standard condition:	11
4) Owner-occupied units that were qualified as Energy Star:	0
5) Owner-occupied units that were made Section 504 accessible:	0
6) Owner-occupied units that were brought into compliance with lead safety rules:	1

LEAD PAINT

1) Total # of Housing units constructed before 1978	1
2) Total # of Exempt: Housing units constructed 1978 or later	4
3) Total # of Exempt: Hard Costs <= \$5,000	0
4) Total # of Otherwise Exempt	6
Lead hazard Remediation Actions (for housing rehabilitation only)	
5) # of Lead Safe Work Practices (24 CFR 35.930 b) (hard costs <= \$5,000)	0
6) # Interim Controls or Standard Practices (24 CFR 35.930c) (hard costs \$5,000 – 25,000)	1
07) # Abatement (24 CFR 35.930d) (Hard Costs over \$25,000)	0

**HOUSING REHABILITATION ACTIVITIES
PERFORMANCE MEASUREMENTS REPORT
PROGRAM YEAR START DATE (7/1/16) THROUGH THIS QUARTER**

Complete this report for all housing rehabilitation activities. Do not report any data for prior program years.

Name of Activity: Housing Rehabilitation

TOTAL RENTAL UNITS

1) Total rental units rehabilitated during the program year through this quarter:	
2) Rental units that are subject to affordability requirements:	
3) Rental units that were made Section 504 accessible:	
4) Rental units that were brought from substandard to standard condition:	
5) Rental units that were qualified as Energy Star:	
6) Rental units that were brought into compliance with lead safety rules:	
7) Rental units that were created by converting non-residential buildings to residential buildings.	

AFFORDABLE RENTAL UNITS

1) Number of years of affordability:	
2) Affordable rental units occupied by the elderly:	
3) Affordable rental units subsidized by Federal, State, or Local project-based rental assistance:	
4) Affordable rental units designated for persons with HIV/AIDS (including those units that are subsidized by project based rental assistance or other assistance for services and/or operations):	
5) Affordable rental units designated for persons with HIV/AIDS who are chronically homeless (This is a sub-set of the units reported on line 4.):	
6) Affordable rental units that provide <u>permanent</u> housing for homeless persons and families (including those units that are subsidized by project-based rental assistance or other assistance for services and/or operations):	
7) Affordable rental units that provide <u>permanent</u> housing for homeless persons and families who are chronically homeless (This is a sub-set of the units reported on line 6.):	

OWNER-OCCUPIED UNITS

1) Total Owner-occupied units rehabilitated during the program year through this quarter:	7
2) Owner-occupied units occupied by the elderly:	4
3) Owner-occupied units that were brought from substandard to standard condition:	7
4) Owner-occupied units that were qualified as Energy Star:	0
5) Owner-occupied units that were made Section 504 accessible:	0
6) Owner-occupied units that were brought into compliance with lead safety rules:	0

LEAD PAINT

1) Total # of Housing units constructed before 1978	0
2) Total # of Exempt: Housing units constructed 1978 or later	2
3) Total # of Exempt: Hard Costs <= \$5,000	0
4) Total # of Otherwise Exempt	5
Lead hazard Remediation Actions (for housing rehabilitation only)	
5) # of Lead Safe Work Practices (24 CFR 35.930 b) (hard costs <= \$5,000)	0
6) # Interim Controls or Standard Practices (24 CFR 35.930c) (hard costs \$5,000 – 25,000)	0
7) # Abatement (24 CFR 35.930d) (Hard Costs over \$25,000)	0

DOH Form s-730e

8/96

PROGRESS ON LEVERAGING OF FUNDS

Only complete this form if your original application or approved amendments propose to leverage other private or public funds. Examples of leveraged dollars include the bank or homeowner share of a rehabilitation loan, funding from other state departments or your Town, which is combined with CDBG funds to make a project possible, etc. This form must be completed if your application proposed leveraging funds, even if no funds have actually been leveraged to date.

Source of Funds	(1) <u>Budgeted</u>	(2) Funds <u>In Place</u>	(3) Funds <u>Leveraged</u>	(4) <u>Anticipated</u>
Private	0	1,406.00	1,046.00	0

- (1) The budgeted amount should reflect the amount of funds to be leveraged as shown in your Small Cities application or as amended.
- (2) Funds in place should reflect the amount of funds in the possession of the Town and/or available to be combined with the CDBG funds.
- (3) This column should reflect the actual amount of non-CDBG funds already leveraged.
- (4) This should reflect the funds not yet available to the town but which are anticipated.

PART A

CONTRACT AND SUBCONTRACT ACTIVITY – THIS QUARTER

Grantee Name: Ledyard DOH Grant Number: SC1507201 01 / 01 / 17 through 03 / 31 / 17

Project Name or Number	Amount of Contract	Type Of Trade (1-3)	Racial/Ethnic Code (1-6)	Woman Owned (yes/no)	Section 3 Firm (yes/no)	Contractor ID Number Or S.S. Number	Subcontractor ID Number Or S.S. Number *	Name	Street	City	State	Zip Code
072-85	15,380.00	1	1	No	No	044-44-1025		Sterling Painting & Construction	60 Johnson Lane, Madison, CT	06443	CT	06443
	1,650.00	1	1	No	No		06-1278039	East River Overhead Door	5 Tipping Place, Madison, CT	06443	CT	06443
	398.00	1	1	No	No		06-1592807	Beaver Electric	8 Fielding Terrace, E. Windsor, CT	06382	CT	06382
072-78	15,336.00	1	1	No	No	06-1557940	*	JWP Building LLC	1 Knollwood Drive, Canterbury, CT	06331	CT	06331
	2,200.00	1	1	No	No		20-2373798	Walter Electric LLC	PO Box 5 East Lyme, CT	06333	CT	06333
	2,200.00	1	1	No	No		06-1068472	RC Plumbing & Heating	402 Main Street, Baltic, CT	06330	CT	06330
072-90-R	31,415.81	1	1	No	No	27-0132286		D'Amato Builders & Advisors LLC	40 Connecticut Avenue, Norwich, CT	06360	CT	06360
	8,750.00	1	1	No	No		06-1578423	S.W. Debs LLC	503 Fabyan Road, N. Grovenorsdale, CT	06255	CT	06255
	1,600.00	1	1	No	No		06-1370344	Northern Lights	4 Hanover Farms Road, Bolton, CT	06043	CT	06043
072-79	9,535.00	1	1	Yes	No	06-1549227	*	RER Associates, LLC	75 Lustig Road, Willington, CT	06279	CT	06279
	260.54	1	1	No	No		06-1411759	Fox Heating Service	37 Westford Avenue, Stafford Springs CT		CT	
	350.00	1	1	No	No		27-0463757	Briggs Electric	16 Savage Road, Preston, CT	06279	CT	06279
	3,570.00	1	1	No	No		049-54-6328	M. Morin Carpentry	76 Discovery Road, Vernon, CT	06066	CT	06066
	400.00	3	1	No	No	06-1501353		Boston Lead	62 Washington Street, Middletown, CT	06457	CT	06457

Instructions:

1. Report all of the contracts and subcontracts that were executed since the start date of this activity.
2. Trade: (1) Construction (2) Education/Training (3) Other
3. Racial Code: (1) White Americans, (2) Black Americans, (3) Native Americans, (4) Hispanic Americans, (5) Asian/Pacific Americans, (6) Hasidic Jews
4. Use Social Security # only if contractor does not have an IRS Identification Number
5. Designate a Small Business Enterprise (SBE) with an asterisk (*).
6. If subcontractors are being used, list the general contractor first followed by each subcontractor.

072-79	9,535.00	1	1	Yes	No	06-1549227	*	RER Associates, LLC 75 Lustig Road, Willington, CT 06279
	260.54	1	1	No	No	06-1411759		Fox Heating Service 37 Westford Avenue, Stafford Springs CT
	350.00	1	1	No	No	27-0463757		Briggs Electric 16 Savage Road, Preston, CT 06279
	3,570.00	1	1	No	No	049-54-6328		M. Morin Carpentry 76 Discovery Road, Vernon, CT 06066
Various	1,530.00	3	1		No	06-1501353		Boston Lead 62 Washington Street, Middletown, CT 06457

Instructions:

1. Report all of the contracts and subcontracts that were executed since the start date of this activity.
2. Trade: (1) Construction (2) Education/Training (3) Other
3. Racial Code: (1) White Americans, (2) Black Americans, (3) Native Americans, (4) Hispanic Americans, (5) Asian/Pacific Americans, (6) Hasidic Jews
4. Use Social Security # only if contractor does not have an IRS Identification Number
5. Designate a Small Business Enterprise (SBE) with an asterisk (*).
6. If subcontractors are being used, list the general contractor first followed by each subcontractor.

Quarterly Report

State of Connecticut Department of Housing

1. Name of Grantee: TOWN OF LEDYARD
 2. Grant Number: SC1507201
 3. HUD Grant Number: 072-SC-15
 4. Reporting Period: 1/1/17 to 3/31/17

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Line	Original Amt Approved	Current Amt Approved	Amount Encumbered	Advances Received * this Quarter	YTD	Amt Expended this Quarter	YTD
1a. ACQUISITION OF REAL PROPERTY							
b. Program Costs							
2a. DISPOSITION							
b. Program Costs							
3a. CLEARANCE AND DEMOLITION							
b. Program Costs							
4. COMMUNITY FACILITIES AND IMPROVEMENTS							
a. Senior Centers							
b. Program Costs							
c. Recreational Facilities							
d. Program Costs							
e. Centers for the Handicapped							
f. Program Costs							
g. Neighborhood Facilities							
h. Program Costs							
5. WATER/SEWER/FLOOD AND DRAINAGE							
a. Water Facilities							
b. Program Costs							
c. Sewer Facilities							
d. Program Costs							
e. Flood & Drainage Facilities							
f. Program Costs							
g. Assessments							
h. Program Costs							
i. Other Utilities							
j. Program Costs							
6. STREETS							
a. Street Improvements							
b. Program Costs							

Quarterly Report

State of Connecticut Department of Housing

1. Name of Grantee: TOWN OF LEDYARD
 2. Grant Number: SC1507201
 3. HUD Grant Number: 072-SC-15
 4. Reporting Period: 1/1/17 to 3/31/17

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Line	Original Amt Approved	Current Amt Approved	Amount Encumbered	Advances Received * this Quarter	YTD	Amt Expended this Quarter	YTD
c. Sidewalk/Ped.Malls/Streetscape							
d. Program Costs							
e. Parking Facilities							
f. Program Costs							
7a. INTERIM EMERGENCY REPAIRS							
b. Program Costs							
8a. OTHER PUBLIC FACILITIES							
b. Program Costs							
9a. REMOVAL OF ARCHITECTURAL BARRIERS							
b. Program Cost							
10a.PUBLIC/SOCIAL SERVICES							
b. Program Costs							
11a.APPROVED URBAN RENEWAL							
b. Program Costs							
12a.RELOCATION							
b. Program Costs							
13. REHABILITATION/PRESERVATION AND HOUSING ACTIVITIES							
a. Rehabilitation of Public Residential Structures							
b. Program Costs							
c. Rehabilitation of Private Residential Structures	\$319,000.00	\$319,000.00	\$0.00	\$35,624.06	\$218,604.49	\$35,624.06	\$218,604.49
d. Program Costs	\$48,000.00	\$48,000.00	\$0.00	\$249.00	\$42,232.60	\$249.00	\$42,232.60
e. Code Enforcement							
f. Program Costs							
g. Historic Preservation							
h. Program Costs							
i.Construction of Housing-Sec 17							
j. Program Costs							
k. Public Housing Modernization							
l. Program Costs							

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Page 3 of 3

Line	Original Amt Approved	Current Amt Approved	Amount Encumbered	Advances Received * this Quarter	YTD	Amt Expended this Quarter	YTD
m. Rehabilitation of Commercial Buildings							
n. Program Costs							
14. ECONOMIC DEVELOPMENT ACTIVITIES							
a. Job Creation/Retention for Private For-Profit Corp.							
b. Program Costs							
c. Job Creation/Retention for Private Non-Profit Corp.							
d. Program Costs							
15a. SPECIAL ACTIVITIES BY NON-PROFIT CORP.							
b. Program Costs							
16a. DOWNPAYMENT ASSISTANCE							
b. Program Costs							
18. PLANNING							
a. Development of a Comprehensive Community Development Plan							
b. Program Costs							
c. Development of a Policy-Mgmt-Capacity Building							
d. Program Costs							
e. Special Functional Planning Activities							
f. Program Costs							
19. GENERAL ADMINISTRATION							
a. General Management, Oversight and Coordination	\$33,000.00	\$33,000.00	\$0.00	\$19,450.00		\$19,450.00	
b. Indirect Costs							
c. Citizen Participation							
d. Environmental Assessment							
20. CONTINGENCIES (Not to exceed 10% of total Grant amount)							
21. Total All Line Items	\$400,000.00	\$400,000.00	\$0.00	\$35,873.06	\$280,287.09	\$35,873.06	\$280,287.09

* Includes Pending Drawdowns

PROGRAM INCOME STATEMENT

ONLY COMMUNITES GENERATING PROGRAM REVENUE (PR) NEED TO COMPLETE THIS FORM

Reporting Period: 1/1/2017 to 3/31/2017 Community: Ledyard

Report Prepared By: L. Wagner & Associates, Inc.

PROGRAM REVENUE FOR THE QUARTER		PROGRAM INCOME BALANCES & EXPENDITURES				ACTIVITY		
Program Revenue For The Quarter	Bank Interest Earned	Total Program Revenue	RLA and Program Income (PI) Accounts		Program Income Expended	Balance	Activity on which PI was expended	Accomplishments achieved w/PI funded activities [# people asst, % L/M # units rehabed, etc.] and National Obj.
			Beginning Balance (2)	Total PI Deposited This Quarter				
{1}	{2a}	{2b}	{3a}	{3b}	{4}	{5}	{6}	{7}
HRLR			\$5,962.18	\$19,899.07	\$1,750.00	\$24,111.25		
TOTAL			\$5,962.18	\$19,899.07	\$1,750.00	\$24,111.25		

- (1) List source of Program Revenue (PR) e.g. repayment from a specific grant, repayment from a Revolving Loan Account (RLA) or repayment from a Program Income fund account.
- (2) The beginning Balance is the ending Balance reported on the most recent previous PI Report, whether Annual or Quarterly. List accounts separately
- (3) PI expended should be listed in this column, do not list the expenditure of Miscellaneous Revenue (MR) (see Chapter 9 page 9-2 of the Grant Management Manual for definition of MR).

ANNUAL PROGRAM INCOME STATEMENT

ONLY COMMUNITES GENERATING PROGRAM REVENUE (PR) NEED TO COMPLETE THIS FORM

Reporting Period: July 1, 2016 TO June 30, 2017 Community: Ledyard

Report Prepared By: L. Wagner & Associates, Inc.

PROGRAM REVENUE FOR THE QUARTER		PROGRAM INCOME BALANCES & EXPENDITURES				ACTIVITY	
Program Revenue For The Quarter	Bank Interest Earned	RLA and Program Income (PI) Accounts		Program Income Expended	Balance	Activity on which PI was expended	Accomplishments achieved w/PI funded activities [# people asst, % L/M # units rehabed, etc.] and National Obj.
		Beginning Balance ⁽²⁾	Total PI Deposited This Year				
{1}	{2a}	{3a}	{3b}	{4}	{5}	{6}	{7}
HRLR					\$0.00		
TOTAL		\$0.00	\$0.00	\$0.00	\$0.00		

- (1) List source of Program Revenue (PR) e.g. repayment from a specific grant, repayment from a Revolving Loan Account (RLA) or repayment from a Program Income fund account.
- (2) The beginning Balance is the ending Balance reported on the most recent previous PI Report, whether Annual or Quarterly. List accounts separately.
- (3) PI expended should be listed in this column, do not list the expenditure of Miscellaneous Revenue (MR) (see Chapter 9 page 9-2 of the Grant Management Manual for definition of MR).

Section 3 Summary Report

Economic Opportunities for
Low — and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 11/30/2010)

HUD Field Office:
Hartford

Section back of page for Public Reporting Burden statement

1. Recipient Name and Address: (street, city, state, zip) N/A	2. Federal Identification: (grant no.) SC 1507201	3. Total Amount of Award: 300,000.00
	4. Contact Person: L. Wagner & Associates	5. Phone: (include area code) (203) 573-1188
	6. Length of Grant:	7. Reporting Period: 01/01/17-03/31/17
8. Date Report Submitted: 03/31/17	9. Program Code: (Use separate sheet for each program code) 8	10. Program Name: CDBG – State Administered

Part I: Employment and Training (Columns B, C and F are mandatory fields. Include New Hires in E & F)**

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List Trade)	0				
Trade					
Trade					
Trade					
Trade					
Other (List)					
Total	0				

Program Codes
1 = Flexible Subsidy
2 = Section 2021811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on this project	\$ 71,020.81
B. Total dollar amount of contracts awarded to Section 3 businesses	\$0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount of all non construction contracts awarded on the project/activity	\$ 400.00
B. Total dollar amount of all non construction contracts awarded to Section 3 businesses	\$0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.